

10/521530

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No: Not Yet Known  
Applicants: Uwe Hendrich et al.  
Filed: Herewith  
Title: BRAKE HOLDER FOR A FLOATING-CALIPER DISK BRAKE WITH A BRAKE  
PAD GUIDE SPRING  
T.C./A.U.:  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form, other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

References DE 29 12 021, DE 196 10 611, WO 01/31223 and DE 100 50 013 are not in the English language, but an English translation thereof is attached to the copy of each reference submitted herewith.

All of these references were cited by a foreign patent office in a counterpart foreign application. A copy of each of the search reports, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

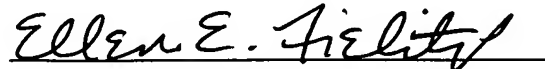
PC10449US

DT30 Rec'd PCT/PTO 14 JAN 2005  
PATENT

10/521530

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,



Robert P. Seitter, Reg. No. 24,886  
Ellen E. Fielitz, Reg. No. 54,746  
Attorneys for Applicants

RPS/EEF/krh

Enclosures: PTO Form 1449  
(5) References  
International Search Report  
German Search Report

Dated: January 14, 2005

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**EXPRESS MAIL**

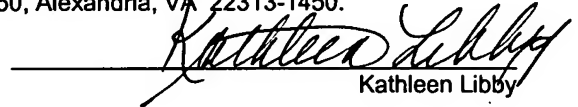
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Date of Deposit:

January 14, 2005

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Kathleen Libby

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	Not Yet Known <b>10/521530</b>
Filing Date	Herewith
First Named Inventor	Uwe Hendrich
Art Unit	
Examiner Name	
Attorney Docket No.	PC10449US

SHEET 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-4,181,200	01-01-1980	Souma	
	2	US-4,607,728	08-26-1986	Kobayashi	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD- YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	3	DE 29 12 021 A1 (English abstract attached)	10-04-1979	Sumitomo Electric Industries, Ltd.		<input checked="" type="checkbox"/>
	4	GB 2 172 068 A	09-10-1986	Tokico Ltd.		<input type="checkbox"/>
	5	DE 196 10 611 A1 (English abstract attached)	09-25-1997	Lucas Industries p.l.c.		<input checked="" type="checkbox"/>
	6	WO 01/31223 A1 (English abstract included)	05-03-2001	Robert Bosch GmbH		<input type="checkbox"/>
	7	DE 100 50 013 A1 (English abstract attached)	04-11-2002	Continental Teves AG & Co. oHG		<input checked="" type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.